A mechanical view of capillarity

Olivier Millet

LaSIE, UMR-CNRS 7356, La Rochelle Université



- 2 Calculation of different contributions of energy of the system
- 3 Associated equilibrium equations without line energy
- Explicit calculation of capillary force for simple geometries



- 2 Calculation of different contributions of energy of the system
- 3 Associated equilibrium equations without line energy
- 4 Explicit calculation of capillary force for simple geometries
- 5 Energy minimization with line energy

System: liquid occupying the domain Ω_l of volume V + liquid-gas interface



Internal energy of the system

$$\Xi_{\Omega_l} = -P_{liq}V + \gamma_{lg}A_{lg} \tag{1}$$

- *P_{liq}* liquid pressure inside the capillary bridge
- Alg surface of the liquid-gas interface
- $\gamma_{\textit{lg}}$ liquid-gas surface tension

Rem : the variation of liquid-gas surface energy may be seen as the one of a membrane with associated membrane stress tensor $n_t = \gamma_{lg} I_{T_{\omega_{lr}}}$

First law of thermodynamics in adiabatic conditions:

$$\delta E_{\Omega_l} - W_{F_{\text{ext/sys}}} = 0 \tag{2}$$

 $W_{F_{\text{ext/sys}}}$ mechanical work of external forces acting on the system (liquid capillary bridge)

External work of pressure forces

$$dF_{\mathrm{ext}/\Omega_{l}}^{p} = -P_{\mathrm{g}} \, dS \, n_{\Omega_{l}} \tag{3}$$

 n_{Ω_l} : unit external normal to Ω_l P_g gas (air) pressure outside the capillary bridge

$$W_{F_{\text{ext}/\Omega_{l}}^{P}} = \int_{\partial\Omega_{l}} -P_{g} n_{\Omega_{l}} \cdot \delta u \, dS = -P_{g} \int_{\omega_{lg}} n_{lg} \cdot \delta u dS$$

$$= -P_{g} \, \delta V$$
(4)

Friction forces acting on the contact line

Friction forces exerted by the solid substrates Ω_s on the liquid Ω_l :

$$f_{\rm ext}^{\rm s} = -\gamma_{\rm s}\nu_{\rm sl} - \alpha t_{\rm slg} \tag{5}$$

• ν_{sl} and t_{slg} are the normal and tangent unit vectors to the contact ligne L_{slg} of Darboux basis $(t_{slg}, g_{sl}, n_{sl})$ of L_{slg}

• Friction forces in the tangent plane of the solid only



Figure: Contact line and Darboux basis

O. Millet

Work of external friction forces acting on the contact line

$$\mathcal{N}_{f_{\text{ext}}/\Omega_{l}} = -\gamma_{s} \int_{L_{slg}} \nu_{sl} . \delta u dl - \alpha \int_{L_{slg}} t_{slg} . \delta u dl$$
(6)

We have exactly:

$$W_{f_{\text{ext}}/\Omega_{l}}^{s} = -\gamma_{s} \delta A_{sl} - \alpha \delta \mathcal{L}_{slg}$$
(7)

 $\delta \mathcal{L}_{slg}$: variation of the the length \mathcal{L}_{slg} of the contact line L_{slg}

Adhesion forces acting on the system

$$f_3^{ad} = (f_3^c + f_3^{sl})n_{sl} \tag{8}$$

- f_3^c : normal adhesion forces located on the contact line \mathcal{L}_{slg}
- f_3^{sl} : normal adhesion forces located on ω_{sl}

Work of adhesion forces associated to the displacement δu :

$$W_{f_3^{ad}} = \int_{\omega_{sl}} f_3^{sl} v_3^{sl} \ d\omega + \int_{L_{slg}} f_3^{c} v_3^{sl} \ dl \tag{9}$$

Remark: We could also define a tangential friction/adhesion force f_t^{sl} acting on ω_{sl} with

$$W_{f_t^{sl}} = \int_{\omega_{sl}} f_t^{sl} \cdot v_t^{sl} \, d\omega \tag{10}$$

At the end, we will prove from the final equilibrium equations that $f_t^{sl} = 0$

First law of thermodynamics in adiabatic conditions:

$$\delta E_{\Omega_l} - W_{F_{\text{ext/sys}}} = 0 \tag{11}$$

General form of the energy of the system

$$\gamma_{lg} \delta A_{lg} + \gamma_s \delta A_{sl} \pm \gamma_{slg} \delta L_{slg} - \Delta P \ \delta V - W_{F_{\text{ext}/\Omega_l}} = 0$$
(12)

with $W_{F^s_{ext/\Omega_l}}$: work of other external action on Ω_l .

In general we have $\gamma_s = \gamma_{sl} - \gamma_{sg}$ which an be identified as a tangential friction force exerted by the solid substrates on the liquid Ω_l

Other possible definition of the system Equivalently, we have

$$\delta E_{\Omega} - \Delta P \,\, \delta V \pm \gamma_{slg} L_{slg} = 0 \tag{13}$$

with

$$E_{\Omega} = \gamma_{lg} A_{lg} + \gamma_s A_{sl}$$

2 Calculation of different contributions of energy of the system

3) Associated equilibrium equations without line energy

4 Explicit calculation of capillary force for simple geometries

5 Energy minimization with line energy

• Variation of volume



Figure: Initial and final configuration of the liquid domain After some algebra, we can prove that we have

$$\delta V = \int_{\omega_{lg}} \delta p \cdot n_{lg} \, d\omega + \int_{\omega_{sl}} \delta p \cdot n_{sl} d\omega \tag{14}$$

Rem: Due to the use of Stokes theorem, the outer unit normals n_{lg} and n_{sl} must be considered pointing outer the liquid domain Ω_l

O. Millet

A mechanical view of capillarity

26 juin 2025

Decomposition of the displacement δp

$$\delta p = v_t^{lg} + v_3^{lg} n_{lg} \quad on \quad \omega_{lg}$$

$$\delta p = v_t^{sl} + v_3^{sl} n_{sl} \quad on \quad \omega_{sl} \qquad (15)$$

into a tangential part and a normal part, both on $\omega_{\it sl}$ and $\omega_{\it lg}$

General expression of the volume variation

$$\delta V = \int_{\omega_{lg}} v_3^{lg} \, d\omega + \int_{\omega_{sl}} v_3^{sl} \, d\omega \tag{16}$$

• Variation of liquid gas area A_{lg} (needs intrinsic differential geometry)



Figure: Initial and final configuration of liquid surface

Using the decomposition $\delta p = v_t^{lg} + v_3^{lg} n_{lg}$, we have exactly

$$\delta A_{lg} = -\int_{\omega_{lg}} v_3^{lg} \operatorname{Tr} (C) \, \mathrm{d}\omega + \int_{L_{slg}} v_t \cdot \nu_{lg} \, dl \tag{17}$$

• Variation of solid-liquid area A_{sl}

In a similar way, using the decomposition $\delta p = v_t^{sl} + v_3^{sl} n_{\omega_{sl}}$, we have exactly

$$\delta A_{sl} = -\int_{\omega_{sl}} v_3^{sl} \operatorname{Tr} \left(C^{sl} \right) d\omega + \int_{L_{slg}} v_t^{sl} \cdot \nu_{sl} dl$$
(18)

• $\partial \omega_{sl}$ corresponds to the contact line L_{slg} ($\partial \omega_{lg}$ coincides also with L_{slg})

• ν_{sl} denotes the outer normal to the L_{slg}

First synthesis of the results

$$\delta V = \int_{\omega_{lg}} v_3^{lg} d\omega + \int_{\omega_{sl}} v_3^{sl} d\omega$$

$$\delta A_{lg} = -\int_{\omega_{lg}} v_3^{lg} \operatorname{Tr}(C) d\omega + \int_{L_{slg}} v_t^{lg} \cdot \nu_{lg} dl$$
(19)

$$\delta A_{sl} = -\int_{\omega_{sl}} v_3^{sl} \operatorname{Tr}(C^{sl}) d\omega + \int_{L_{slg}} v_t^{sl} \cdot \nu_{sl} dl$$

15 / 40

Minimization of energy

$$\int_{\omega_{lg}} (-\Delta P - \gamma_{lg} \operatorname{Tr} (C)) v_3^{lg} d\omega + \int_{\omega_{sl}} (-\Delta P - \operatorname{Tr} (C^{sl})) v_3^{sl} d\omega$$
$$+ \int_{L_{slg}} \gamma_{lg} v_t^{lg} \cdot \nu_{lg} + v_t^{sl} \cdot \nu_{sl} dl = \int_{\omega_{sl}} f_3^{sl} v_3^{sl} d\omega$$
$$+ \int_{L_{slg}} f_3^c v_3^{sl} dl + \int_{\omega_{sl}} f_t^{sl} \cdot v_t^{sl} d\omega$$
(20)

Necessity to decompose the displacement δp in the same basis

Decomposition of δp in the same basis

Continuity of the displacement on the contact line

$$v_t^{lg} + v_3^{lg} n_{lg} = v_t^{sl} + v_3^{sl} n_{sl} \qquad on \quad L_{slg}$$
(21)

General definition of the contact angle $\boldsymbol{\theta}$

$$\nu_{sl} \cdot \nu_{lg} = \cos \alpha = \sin \theta \tag{22}$$



Figure: Definition of angle θ

O. Millet

A mechanical view of capillarity

One has

$$T = \int_{L_{slg}} \left(\gamma_{lg} v_t^{lg} \cdot \nu_{lg} + v_t^{sl} \cdot \nu_{sl} \right) dl$$

=
$$\int_{L_{slg}} \left(\gamma_{lg} v_t^{sl} \cdot \nu_{lg} + v_t^{sl} \cdot \nu_{sl} \right) dl + \int_{L_{slg}} \gamma_{lg} \sin \theta v_3^{sl} dl$$
 (23)

and then after some algebra

$$T = \int_{L_{slg}} (\gamma_{lg} \cos \theta + \gamma_s) \, \mathbf{v}_t^{sl} \cdot \mathbf{v}_{sl} \, dl + \int_{L_{slg}} \gamma_{lg} \, \sin \theta \, \mathbf{v}_3^{sl} \, dl \qquad (24)$$

18 / 40

We finally obtain the following equilibrium equation **or variational principle** without line energy

$$\int_{\omega_{lg}} (-\Delta P - \gamma_{lg} \operatorname{Tr}(C)) \, \mathbf{v}_{3}^{lg} \, \mathrm{d}\omega + \int_{L_{slg}} (\cos\theta \, \gamma_{lg} + \gamma_{s}) \mathbf{v}_{t}^{sl} \cdot \mathbf{v}_{sl} \, dl$$
$$+ \int_{\omega_{sl}} \left(-\Delta P - \operatorname{Tr}\left(C^{sl}\right) \right) \, \mathbf{v}_{3}^{sl} \, \mathrm{d}\omega + \int_{L_{slg}} \sin\theta\gamma_{lg} \, \mathbf{v}_{3}^{sl} \, dl = \int_{\omega_{sl}} f_{3}^{sl} \, \mathbf{v}_{3}^{sl} \, d\omega$$
$$+ \int f_{3}^{c} \, \mathbf{v}_{3}^{sl} \, dl + \int_{\omega_{sl}} f_{t}^{sl} \cdot \mathbf{v}_{t}^{sl} \, d\omega$$
(25)

 \triangleright 3 degrees of freedom: v_3^{lg} , $v_t^{sl} \cdot \nu_{sl}$ and v_3^{sl}

19/40

The degrees of freedom involved in the variational principle are :

- v_3^{lg} that plays the role of $\delta y(x)$ in the particular case considered of an axisymmetric capillary bridge between two plates or other geometries
- $v_t^{sl} \cdot \nu_{sl}$ that plays the role of δy_c
- v_3^{sl} that plays the role of δx_c

and must/can be considered as independent in the minimization problem.

2 Calculation of different contributions of energy of the system

3 Associated equilibrium equations without line energy

4 Explicit calculation of capillary force for simple geometries

5 Energy minimization with line energy

Young-Laplace equation

Eq. (25) must be satisfied for all virtual displacements v_3^{lg} defined on ω_{lg} , considering $v_t^{sl} = 0$ and $v_3^{sl} = 0$ defined on ω_{sl}

$$\int_{\omega_{lg}} \left(-\Delta P - \gamma_{lg} \operatorname{Tr} (C) \right) \, v_3^{lg} \mathrm{d}\omega = 0 \quad \forall v_3^{lg}$$

We obtain the classical Young-Laplace equation in its general intrinsic form:

$$\operatorname{Tr}(C) = -\frac{\Delta P}{\gamma_{lg}}$$
(26)

Associated equilibrium equations without line energy

"Young equation" and its interpretation

Coming back to Eq. (25), and considering any non vanishing v_t^{sl} defined on ω_{sl} (with $v_3^{sl} = 0$), we obtain :

$$\int_{L_{slg}} (\cos\theta \ \gamma_{lg} + \gamma_s) v_t^{sl} \cdot v_{sl} \ dl = 0 \quad \forall v_t^{sl}$$

$$-\gamma_s = \gamma_{lg} \cos\theta \tag{27}$$

which provides the expression of the local tangentiel friction force on the contact line (5):

$$f_{\text{ext}}^{s} = -\gamma_{s}\nu_{sl} = \gamma_{lg}\cos\theta \ \nu_{sl}$$
⁽²⁸⁾

It is generally written on the form

$$\gamma_s + \gamma_{lg} \cos \theta = 0$$

and called Young equation.

O. Millet

Associated equilibrium equations without line energy

General expression of associated capillary force

Work of the adhesion force during the displacement v_3^{sl}

$$W_{f_{3}ad} = \int_{\omega_{sl}} f_{3}^{sl} v_{3}^{sl} d\omega + \int_{L_{slg}} f_{3}^{c} v_{3}^{sl} dl$$

$$= \int_{\omega_{sl}} \left(-\Delta P - \gamma_{s} \operatorname{Tr} \left(C^{sl} \right) \right) v_{3}^{sl} d\omega + \int_{L_{slg}} \gamma_{lg} \sin \theta v_{3}^{sl} dl$$
(29)

By identification, we may define the "local" adhesion forces:

$$f_{3}^{sl} = \gamma_{lg} \sin \theta$$

$$f_{3}^{c} = -\Delta P - \operatorname{Tr}\left(C^{sl}\right)$$
(30)

- Classical for plane surface when $Tr(C^{sl}) = 0$.
- First term often called "capillary force" and second one Laplace pressure force
- This identification is "local" whereas the definition of the capillary force that can be measured is "global", resulting from an integration on ω_{sl} and L_{slg}

O. Millet

Associated equilibrium equations without line energy

General expression of associated capillary (adhesion) force

Consequently we write

$$F_{3}^{sl} = \int_{\omega_{sl}} \left(-\Delta P + \gamma_{lg} \cos(\theta) \operatorname{Tr}(C^{sl}) \right) n^{sl} dS$$
$$F_{3}^{c} = \int_{L_{slg}} \gamma_{lg} \sin(\theta) n^{sl} dl$$

The capillary (adhesion) force is then given by

$$F_{3}^{adh} = \int_{\omega_{sl}} \left(-\Delta P + \gamma_{lg} \cos(\theta) \operatorname{Tr}(C^{sl}) \right) n^{sl} dS + \int_{L_{slg}} \gamma_{lg} \sin(\theta) n^{sl} dl \quad (31)$$

 \triangleright The capillary-adhesion force, is defined as the adhesion force exerted by the solid on the liquid

O. Millet

26 juin 2025

25 / 40

2 Calculation of different contributions of energy of the system

3) Associated equilibrium equations without line energy

Explicit calculation of capillary force for simple geometries

5 Energy minimization with line energy

Axisymmetric capillary bridge between two parallel planes



• $C^{sl} = 0$

• In that particular case, $n^{sl} = -\boldsymbol{e}_x$ for the upper plate and \boldsymbol{e}_x for the lower plate.

Lower plate case

One has

$$F_{3}^{adh} = \int_{\omega_{sl}} -\Delta P \boldsymbol{e}_{x} dS + \int_{L_{slg}} \gamma_{lg} \sin(\theta) \boldsymbol{e}_{x} dl$$
(32)

We obtain

$$F_3^{adh} = \left(-\Delta P \ \pi y_c^2 + 2\pi y_c \gamma_{lg} \sin\theta\right) \boldsymbol{e}_x \tag{33}$$

Classical expression of literature

Note that
$$F_3^{adh} = (-\Delta P \ \pi y_c^2 + 2\pi y_c \gamma_{lg} \sin \theta) (-\boldsymbol{e}_x)$$
 for the upper plate.

Axisymmetrical capillary bridge between two spheres



• For spheres $Tr \ C^{sl} = \frac{2}{R}$, with R > 0 the radius of the spheres.

Lower sphere case

One has

$$F_{3}^{adh} = \left(-\Delta P + \gamma_{lg}\cos(\theta)\frac{2}{R}\right)\int_{\omega_{sl}} n^{sl}dS + \gamma_{lg}\sin(\theta)\int_{L_{slg}} n^{sl}dl \quad (34)$$

Here
$$\int_{\omega_{sl}} n^{sl} dS = \pi R^2 \sin^2 \delta \ \boldsymbol{e}_x$$
 and $\int_{L_{slg}} n^{sl} dS = 2\pi R \sin \delta \cos \delta \ \boldsymbol{e}_x$.

This leads to

$$F_{3}^{adh} = \left(-\Delta P \pi R^{2} \sin^{2} \delta + 2\pi R \gamma_{lg} \sin \delta \sin(\theta + \delta)\right) \boldsymbol{e}_{x}$$
(35)

Classical expression of literature

Note that $F_3^{adh} = (-\Delta P \pi R^2 \sin^2 \delta + 2\pi R \gamma_{lg} \sin \delta \sin(\theta + \delta)) (-e_x)$ for the upper sphere.

O. Millet

Axisymmetric capillary bridge between two identical cones of opening angle α_c



- Imposed virtual displacement of the upper cone in *x*-direction.
- Here on the upper cone $n^{sl} = \cos \beta$ (- e_x) where $\beta = \pi/2 \alpha_c$ with $\alpha_c = \alpha_l$

General expression of work of normal adhesion forces

$$F_{3}^{adh} = \int_{\omega_{sl}} \left(-\Delta P - \gamma_{s} \operatorname{Tr}(C)^{sl} \right) n^{sl} d\omega + \int_{L_{slg}} \gamma_{lg} \sin \theta n^{sl} dl$$
$$= \int_{\omega_{sl}} \left(-\Delta p - \gamma_{s} \operatorname{Tr}C^{sl} \right) \sin \alpha_{c} d\omega (-\boldsymbol{e}_{x}) + \int_{\gamma_{slg}} \gamma_{lg} \sin \theta \sin \alpha_{c} dl (-\boldsymbol{e}_{x})$$
(36)

Using Young equation and the relation $Tr C^{sl} = \frac{\cos \alpha_c}{y(x)}$ for a cone, we have

$$F_{3}^{adh} = \left(\int_{\omega_{sl}} \left(-\Delta \, p + \frac{\gamma_{lg} \cos \theta \cos \alpha_{c}}{y(x)} \right) \mathrm{d} \, \omega + \int_{\gamma_{slg}} \gamma_{lg} \sin \theta \, dl \right) \sin \alpha_{c} \, (-\boldsymbol{e}_{x})$$
(37)

After some algebra, we obtain

$$F_{3}^{adh} = \left(-\Delta p \ \pi y_{c}^{2} + 2\pi \gamma_{lg} y_{c} \cos(\theta - \alpha_{c})\right) \pm \boldsymbol{e}_{x}$$
(38)

▷ General expression of the normal capillary force for a capillary bridge between two cones

$$F_{cap} = -\Delta p \ \pi y_c^2 + 2\pi \gamma_{lg} y_c \cos(\theta - \alpha_c)$$
(39)

• For $\alpha_c = \pi/2$ we recover the expression of F_{cap} for two parallel plates

• New expression obtained also by direct parametric (explicit) calculation $x \mapsto y(x)$ for axisymmetrical meridian

- Definition of the system and associated energy
- 2 Calculation of different contributions of energy of the system
- 3 Associated equilibrium equations without line energy
- 4 Explicit calculation of capillary force for simple geometries



Back to initial energy minimization problem

$$\gamma_{lg}\delta A_{lg} + \gamma_s \delta A_{sl} \pm \gamma_{slg} \delta \mathcal{L}_{slg} - \Delta P \ \delta V - W_{F_{\text{ext}/\Omega_l}^s} = 0$$
(40)

 \triangleright How to compute $\delta \mathcal{L}_{slg}$ in the general case?

Very general result for any curve (plane or non planar)

$$\delta \mathcal{L}_{slg} = \left[t_{slg} \cdot v_t^{sl} \right]_{t_2}^{t_1} - \int_{L_{slg}} k \, \delta u \cdot N_{slg} \, dl \tag{41}$$

- k is the curvature of L_{slg} defined in Frénet basis
- δu is the elementary displacement corresponding to the variation δ defined on ${\it L_{slg}}$

35 / 40

Darboux and frenet Basis on the triple line



- \bullet Frenet basis $(\mathit{t_{slg}}, \mathit{N_{slg}}, \mathit{B_{sl}})$ at any point p of $\mathit{L_{slg}}$
- Bardoux basis $(t_{\textit{slg}}, g_{\textit{slg}}, n_{\textit{sl}})$ with $g_{\textit{slg}} = -\nu_{\textit{sl}}$
- Relation between Darboux en Frenet basis

$$N_{slg} = \cos(lpha)g_{slg} - \sin(lpha)n_{sl}$$

General variational principle accounting with line energy

$$\int_{\omega_{lg}} (-\Delta P - \gamma_{lg} \operatorname{Tr}(C)) v_{3}^{lg} d\omega + \int_{L_{s/g}} (\gamma_{lg} \cos \theta + \gamma_{s} \pm \gamma_{s/g} k \cos(\alpha)) v_{t}^{sl} \cdot \nu_{sl} dl$$
$$+ \int_{\omega_{sl}} \left(-\Delta P - \gamma_{s} \operatorname{Tr}(C^{sl}) \right) v_{3}^{sl} d\omega + \int_{L_{s/g}} (\gamma_{lg} \sin \theta \pm \gamma_{slg} k \sin(\alpha)) v_{3}^{sl} dl$$
$$\pm \gamma_{s/g} \left[t_{s/g} \cdot v_{t}^{sl} \right]_{t_{2}}^{t_{1}} = \int_{\omega_{sl}} f_{3}^{sl} v_{3}^{sl} d\omega + \int_{L_{s/g}} f_{3}^{c} v_{3}^{sl} dl + \int_{\omega_{sl}} f_{t}^{sl} \cdot v_{t}^{sl} d\omega$$
(43)

Associated equilibrium equations

• Young-Laplace equation unchanged

$$\operatorname{Tr}(C) = -\frac{\Delta P}{\gamma_{lg}} \tag{44}$$

• Generalized Young equation

$$\gamma_{lg}\cos\theta + \gamma_s \pm \gamma_{slg}k\cos\alpha = 0 \tag{45}$$

Supplementary term involving line energy

38 / 40

Energy minimization with line energy

• Axisymmetrical capillary bridge with plane substrates $\left(k = \frac{1}{v_c}\right)$

$$\cos\theta + \frac{\gamma_s}{\gamma_{lg}} \pm \frac{\gamma_{slg}}{\gamma_{lg}y_c} = 0$$
(46)

• Axisymmetrical capillary bridge between two spheres of same radius $(k = \frac{1}{y_c} = \frac{1}{Rsin\zeta} \text{ and } \alpha = -\zeta)$

$$\cos\theta + \frac{\gamma_s}{\gamma_{lg}} \pm \frac{\gamma_{slg}}{\gamma_{lg}y_c} \cos\zeta = 0$$
(47)

• Axisymmetrical capillary bridge with two cones of same opening angles α_c ($k = \frac{1}{y_c}$ and $\alpha = pi/2 - \alpha_c$)

$$\cos\theta + \frac{\gamma_s}{\gamma_{lg}} \pm \frac{\gamma_{slg}}{\gamma_{lg}y_c} \sin\alpha_c = 0$$
(48)

Same expressions obtained by a direct calculation for axisymmetrical meridian

O. Millet

39 / 40

Associated expression of capillary forces

- To be detailed
- For a simple geometries, line energy does not add a supplementary contribution! In the general case... ?

Thanks for your attention