

# Bornes supérieures à la distance à l'élasticité cubique ou orthotrope

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# OUTLINE

- 1 Linear elasticity
- 2 Distance to a symmetry class
- 3 Upper bounds estimates rather than distances
- 4 Likely symmetry coordinate system  
Upper bounds estimate of the distance to cubic symmetry

# COMPORTEMENT D'UN RESSORT/BARRE ÉLASTIQUE

Ressort de raideur  $k$ :

$$F = k \Delta \ell = k (\ell - \ell_0)$$

Barre de section  $S_0$ , de longueur initiale  $\ell_0$ , de raideur  $E$ :

$$\sigma = \frac{F}{S_0} = E \frac{\Delta \ell}{\ell_0} = E \epsilon, \quad E = \frac{k \ell_0}{S_0}$$

Contrainte  $\sigma$  et (petite) déformation  $\epsilon$

$$\sigma := \frac{F}{S_0}, \quad \epsilon := \frac{\Delta \ell}{\ell_0}$$

# LOI D'ÉLASTICITÉ TRIDIMENSIONNELLE

Les lois de comportement 3D font intervenir les tenseurs

- (variable) des contraintes  $\boldsymbol{\sigma} = (\sigma^{ij})$ , contravariant d'ordre 2,
- (variable) des petites déformations  $\boldsymbol{\epsilon} = (\epsilon_{ij})$ , covariant d'ordre 2,
- (constitutif) d'élasticité  $\mathbf{E} = (E^{ijkl})$ , contravariant d'ordre 4,

## Elasticité linéaire 3D

La relation scalaire  $\sigma = E\epsilon$  se généralise,

$$\boldsymbol{\sigma} = \mathbf{E} : \boldsymbol{\epsilon}, \quad \sigma^{ij} = E^{ijkl} \epsilon_{kl}, \quad E^{ijkl} = E^{jikl} = E^{klij}.$$

Déplacement  $\mathbf{u}$ ,

$$\boldsymbol{\epsilon} = \frac{1}{2} \left( \nabla \mathbf{u}^b + (\nabla \mathbf{u}^b)^t \right), \quad \epsilon_{ij} = \frac{1}{2} (u_{i,j} + u_{j,i}).$$

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- d'élasticité  $\mathbf{E} = (E^{ijkl})$ , contravariant d'ordre 4,

Elasticité linéaire, en base orthonormée,

La relation scalaire  $\sigma = E\epsilon$  se généralise,

$$\boldsymbol{\sigma} = \mathbf{E} : \boldsymbol{\epsilon}, \quad \sigma_{ij} = E_{ijkl}\epsilon_{kl}, \quad E_{ijkl} = E_{jikl} = E_{klij}.$$

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## ELASTICITÉ LINÉAIRE ISOTROPE

$$\begin{aligned}\boldsymbol{\sigma} &= 2\mu \boldsymbol{\epsilon} + \lambda \operatorname{tr} \boldsymbol{\epsilon} \mathbf{1} = 2G \boldsymbol{\epsilon}^D + K \operatorname{tr} \boldsymbol{\epsilon} \mathbf{1}, \\ (\sigma_{ij} &= 2\mu \epsilon_{ij}^D + \lambda \epsilon_{kk} \delta_{ij} = 2G \epsilon_{ij}^D + K \epsilon_{kk} \delta_{ij}), \\ G = \mu &= \frac{E}{2(1+\nu)}, \quad K = \frac{1}{3}(2\mu + 3\lambda) = \frac{E}{3(1-2\nu)}.\end{aligned}$$

## Tenseur d'élasticité isotrope

$$\begin{aligned}\mathbf{E} &= 2\mu \mathbf{I} + \lambda \mathbf{1} \otimes \mathbf{1}, & (E_{ijkl} &= \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}) + \lambda \delta_{ij} \delta_{kl}) \\ &= 2G \mathbf{J} + K \mathbf{1} \otimes \mathbf{1}, & (E_{ijkl} &= 2G (I_{ijkl} - \frac{1}{3} \delta_{ij} \delta_{kl}) + K \delta_{ij} \delta_{kl}).\end{aligned}$$

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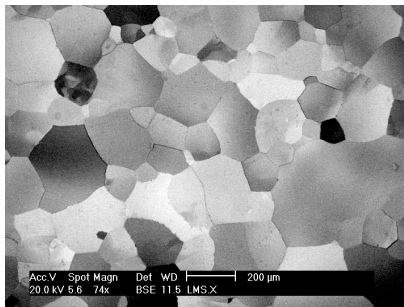
## Tenseur de souplesse isotrope

$$\begin{aligned}\mathbf{S} = \mathbf{E}^{-1} &= \frac{1+\nu}{E} \mathbf{I} - \frac{\nu}{E} \mathbf{1} \otimes \mathbf{1}, & (S_{ijkl} &= \frac{1+\nu}{E} I_{ijkl} - \frac{\nu}{E} \delta_{ij} \delta_{kl}) \\ &= \frac{1}{2G} \mathbf{J} + \frac{1}{9K} \mathbf{1} \otimes \mathbf{1}, & (S_{ijkl} &= \frac{1}{2G} J_{ijkl} + \frac{1}{9K} \delta_{ij} \delta_{kl}).\end{aligned}$$

## POLYCRISTAUX (EX: MÉTAUX)

Un matériau polycristallin est un matériau solide constitué d'une multitude de petits (mono)cristaux de taille et d'orientation variées.

Son comportement macroscopique peut être isotrope.



**Figure:** Observation MEB en imagerie d'électrons rétrodiffusés d'un polycristal de zirconium après polissage mécanique et électrolytique (d'après D. Cadelmaison).



## POLYCRISTAUX ORTHOTROPES

Un matériau polycristallin est un matériau solide constitué d'une multitude de petits (mono)cristaux de taille et d'orientation variées.

Après laminage, son comportement macroscopique peut être orthotrope.

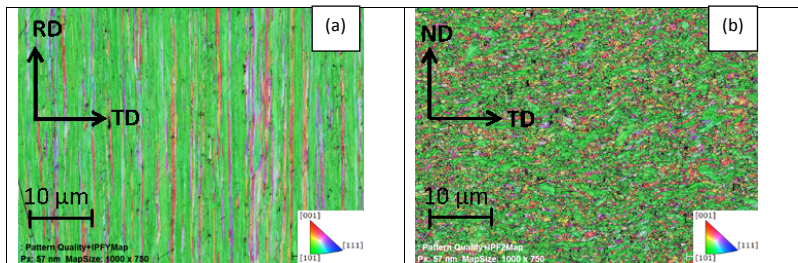


Figure: Acier "14%Cr 14 ferritic ODS" (Oxide Dispersion Strengthened, Fe-14Cr-1W-0.3Ti-0.25Y<sub>2</sub>O<sub>3</sub>, observation EBSD, [Jaumier et al, 2019](#)):  
(a) longitudinal section, (b) transverse section.

# MONOCRISTAUX CUBIQUES D'AUBES DE MOTEURS D'HÉLIOPTÈRES

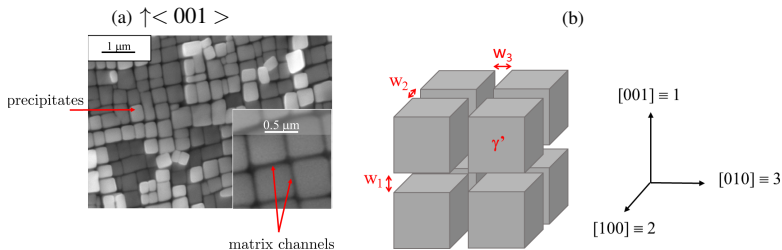
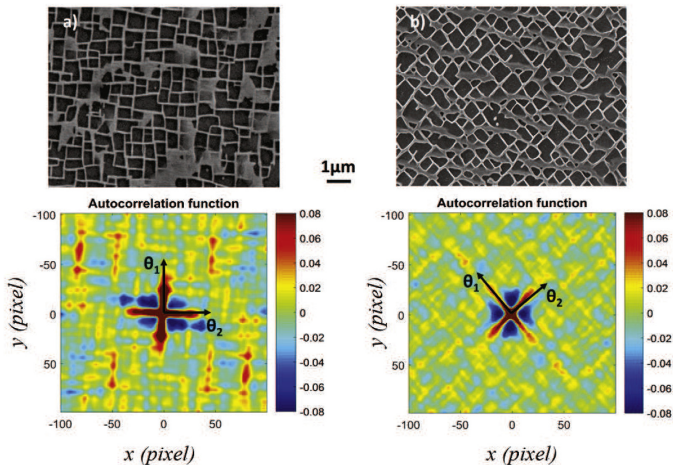


Figure 1.2 – Example of the initial cubic microstructure of Single Crystal Superalloys: (a) SEM observation of a crystal oriented along  $\langle 001 \rangle$  (Cormier (2006), MC2 alloy) and (b) schematic representation of the microstructure.

# BASE NATURELLE D'ANISOTROPIE



**Figure:** a) CMSX4  $\langle 001 \rangle$   $\gamma/\gamma'$  cuboidal microstructure and autocorrelation function; b)  $\langle 111 \rangle$  cuboidal microstructure and autocorrelation function (Caccuri et al, 2018).

## NORMAL FORM

An elasticity tensor  $\mathbf{E}$  in the symmetry stratum  $\Sigma_{[G]}$  (of symmetry class  $[G]$ ) may have exactly as symmetry group the **canonical representative group**  $G$ ,

$$g \star \mathbf{E} = \mathbf{E}, \quad \forall g \in G,$$

where  $(g \star \mathbf{E})_{ijkl} = g_{ip}g_{jq}g_{kr}g_{ls}E_{pqrs}$ .

In that case, we say that  $\mathbf{E}$  is in its **normal form** (expressed in its natural basis).

### Example (of cubic symmetry, in natural cubic basis)

When  $G = \mathbb{O}$ , elasticity tensors in **cubic normal form** are written as

$$[\mathbf{E}] = \begin{pmatrix} E_{1111} & E_{1122} & E_{1122} & 0 & 0 & 0 \\ E_{1122} & E_{1111} & E_{1122} & 0 & 0 & 0 \\ E_{1122} & E_{1122} & E_{1111} & 0 & 0 & 0 \\ 0 & 0 & 0 & 2E_{1212} & 0 & 0 \\ 0 & 0 & 0 & 0 & 2E_{1212} & 0 \\ 0 & 0 & 0 & 0 & 0 & 2E_{1212} \end{pmatrix},$$

in Kelvin matrix representation.

## PROBLÉMATIQUE MÉCANIQUE

Les lois de comportement<sup>1</sup> sont formulées pour des symétries matérielles **initiales** particulières

- isotropie  $[O(3)]$ ,
- symétrie cubique  $[O]$ , orthotropie  $[\mathbb{D}_2]$ .

Des essais mécaniques permettent de mesurer les paramètres matériaux bruités (ici les  $E_{ijkl}$ , Arts, 1993, François, 1995).

### Questions

- Quelle est la base naturelle d'anisotropie ? Réponse mécanique préférée.
- Quelles sont les paramètres d'élasticité du tenseur  $\mathbf{E}$  le plus proche d'une classe de symétrie  $[G]$  donnée ?
- A quelle distance  $d(\mathbf{E}, [G])$  le tenseur  $\mathbf{E}$  est-il de la strate de symétrie  $\Sigma_{[G]}$  correspondante ?
- Solution approchées ? (bornes supérieures  $M(\mathbf{E}, [G]) \geq d(\mathbf{E}, [G])$ )

<sup>1</sup>élasto-(visco-)plastiques couplées ou non à l'endommagement.

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## DISTANCE TO A SYMMETRY CLASS $[G]$

Even if some analytical attempts exist (Vianello, 1997, Stahn et al, 2020), the **distance to an elasticity symmetry class problem** (Gazis et al, 1963) is often

- solved numerically, following Arts et al (1991, 1993) and François et al (1995, 1996, 1998),
- using the parameterization by a **rotation**  $g$ :

$$d(\mathbf{E}_0, [G]) := \min_{\mathbf{E} \in \bar{\Sigma}_{[G]}} \|\mathbf{E}_0 - \mathbf{E}\| = \min_{g \in \text{SO}(3)} \|\mathbf{E}_0 - g \star \mathbf{R}_G(g^t \star \mathbf{E}_0)\|$$

- $G$  is a symmetry group ( $\mathbb{Z}_2, \mathbb{D}_2, \mathbb{D}_3, \mathbb{D}_4, \mathbb{O}, \text{O}(2), \text{SO}(3)$ , Forte–Vianello, 1996),
- $\mathbf{R}_G(\mathbf{E}) = \frac{1}{|G|} \sum_{g \in G} g \star \mathbf{E}$  is the **Reynolds (group averaging) operator**,
- $\mathbf{E} = g_{opt} \star \mathbf{R}_G(g_{opt}^t \star \mathbf{E}_0)$ .

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- solved numerically, following Arts et al (1991, 1993) and François et al (1995, 1996, 1998),
- using the parameterization rotation  $g$  / normal form  $\mathbf{A}$  (Dellinger, 2005):

$$d(\mathbf{E}_0, [G]) := \min_{\mathbf{E} \in \Sigma_{[G]}} \|\mathbf{E}_0 - \mathbf{E}\| = \min_{g, \mathbf{A}} \|\mathbf{E}_0 - g \star \mathbf{A}\|$$

- $G$  is a symmetry group ( $\mathbb{Z}_2, \mathbb{D}_2, \mathbb{D}_3, \mathbb{D}_4, \mathbb{O}, \mathbb{O}(2), \text{SO}(3)$ , Forte–Vianello, 1996),
- $\mathbf{R}_G(\mathbf{A}) = \frac{1}{|G|} \sum_{g \in G} g \star \mathbf{A}$  is the Reynolds (group averaging) operator,
- $\mathbf{E} = g_{opt} \star \mathbf{A}_{opt}$ .



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## UPPER BOUNDS ESTIMATES RATHER THAN DISTANCES

For 3D elasticity, **upper bounds estimates** of the distance to a symmetry stratum have been formulated

- by [Gazis, Tadjbakhsh and Toupin \(1963\)](#) for cubic symmetry,
- by [Klimeš \(2018\)](#) for transverse isotropy,
- and by [Stahn, Müller and Bertram \(2020\)](#) for all symmetry classes, using a **second-order tensor  $\mathbf{t}$  (a covariant)** of the elasticity tensor introduced by [Backus \(1970\)](#). This covariant is assumed to carry the **likely symmetry coordinate system** of  $\mathbf{E}_0$ .
- by us ([2024](#)), a second order tensor  **$\mathbf{a}$  (not a covariant)** being assumed to carry the likely symmetry coordinate system.

All second-order covariants of an exactly cubic elasticity tensor are **isotropic**. Therefore, for a material expected to be cubic, a methodology based on second-order covariants is probably meaningless.

# INVARIANTS / COVARIANTS OF THE ELASTICITY TENSOR

Covariants of a tensor  $\mathbf{E}$  satisfy the rule,  $\forall g \in \text{SO}(3)$ ,

$$\mathbf{C}(g \star \mathbf{E}) = g \star \mathbf{C}(\mathbf{E}), \quad \left( I(g \star \mathbf{E}) = I(\mathbf{E}) \text{ for invariants } I(\mathbf{E}) \right).$$

A covariant  $\mathbf{C}(\mathbf{E})$  of  $\mathbf{E}$  inherits the symmetry of  $\mathbf{E}$ :

$\mathbf{C}(\mathbf{E})$  has at least the symmetry of  $\mathbf{E}$ ,  $G_{\mathbf{E}} \subset G_{\mathbf{C}(\mathbf{E})}$ .

Ex: harmonic decomposition of  $\mathbf{E}$  (Backus, 1970, Cowin, 1989, Baerheim, 1993):

$$\mathbf{E} = (\lambda, \mu, \mathbf{d}', \mathbf{v}', \mathbf{H}) \in \mathbb{H}^0 \oplus \mathbb{H}^0 \oplus \mathbb{H}^2 \oplus \mathbb{H}^2 \oplus \mathbb{H}^4$$

- The quantities

$$\lambda = \lambda(\mathbf{E}), \quad \mu = \mu(\mathbf{E}), \quad \mathbf{d}' = \mathbf{d}'(\mathbf{E}), \quad \mathbf{v}' = \mathbf{v}'(\mathbf{E}), \quad \mathbf{H} = \mathbf{H}(\mathbf{E}),$$

are **covariants**  $\mathbf{C}(\mathbf{E})$  of  $\mathbf{E}$  (of degree one and resp. order 0, 0, 2, 2 and 4).

- $\lambda, \mu / \mathbf{d}'(\mathbf{E}), \mathbf{v}'(\mathbf{E}), \mathbf{H}(\mathbf{E})$  are linear invariants / covariants of  $\mathbf{E}$ .

# POLYNOMIAL COVARIANTS

- There exist **polynomial covariants** of higher degree, for example (Boehler et al, 1994)

$$\mathbf{d}_2(\mathbf{H}) := \mathbf{H} \mathbf{; H}, \quad (\text{i.e., } (\mathbf{d}_2)_{ij} = H_{ipqr}H_{pqrij}),$$

- The **algebra** of (totally symmetric) polynomial covariants of the elasticity tensor has been defined by Olive et al (2021).
- A minimal integrity basis for the **invariant algebra of  $\mathbf{H} \in \mathbb{H}^4$**  has been derived in (Boehler et al, 2021) (it is of **cardinal 9**).
- A minimal integrity basis for the **invariant algebra of  $\mathbf{E}$**  has been derived in (Auffray et al, 2021) and (Olive et al, 2021) (it is of **cardinal 294**).
- A minimal integrity basis for the **covariant algebra of  $\mathbf{H} \in \mathbb{H}^4$**  has been derived in (Olive et al, 2021) (it is of **cardinal 70**).

## LITERATURE UPPER BOUNDS ESTIMATES

The distance of  $\mathbf{E}_0$  to  $\Sigma_{[G]}$  is defined by

$$d(\mathbf{E}_0, \Sigma_{[G]}) = \min_{\mathbf{E} \in \Sigma_{[G]}} \|\mathbf{E}_0 - \mathbf{E}\|.$$

Estimates of the distance to a symmetry class are obtained as

$$M(\mathbf{E}_0, \Sigma_{[G]}) = \min_{\mathbf{E} \in S \subset \Sigma_{[G]}} \|\mathbf{E}_0 - \mathbf{E}\|,$$

*i.e.*, as the minimum **over a subset  $S$  of the considered symmetry stratum.**

It satisfies thus

$$d(\mathbf{E}_0, \Sigma_{[G]}) \leq M(\mathbf{E}_0, \Sigma_{[G]}).$$

Examples: Gazis–Tadibakhsh–Toupin (1963), Vianello (1997), Klimeš (2018), Stahn–Müller–Bertram (2020), Oliver–Leblond et al. (2021).

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# NATURAL COORDINATE SYSTEM OF A CUBIC ELASTICITY TENSOR

Harmonic decomposition of  $\mathbf{E}$  (Backus, 1970, Cowin, 1989, Baerheim, 1993):

$$\mathbf{E} = (\lambda, \mu, \mathbf{d}', \mathbf{v}', \mathbf{H}) \in \mathbb{H}^0 \oplus \mathbb{H}^0 \oplus \mathbb{H}^2 \oplus \mathbb{H}^2 \oplus \mathbb{H}^4$$

Let  $\mathbf{E} = (\lambda, \mu, 0, 0, \mathbf{H}) \in \overline{\Sigma}_{[0]}$  be a cubic elasticity tensor.

It has been shown (Abramian et al, 2020) that an orthotropic solution  $\mathbf{a}'$  of the linear equation

$$\text{tr}(\mathbf{H} \times \mathbf{a}) = \text{tr}(\mathbf{H} \times \mathbf{a}') = 0, \quad \mathbf{H} \times \mathbf{a} := -(\mathbf{a} \cdot \boldsymbol{\varepsilon} \cdot \mathbf{H})^s$$

provides the **axes of symmetry**  $\langle \mathbf{e}_i \rangle$  of the cubic harmonic tensor  $\mathbf{H} \in \Sigma_{[0]}$ .

$\mathbf{a}$  is not a covariant of  $\mathbf{E}$ .

## LIKELY CUBIC/ORTHOTROPIC COORDINATE SYSTEM

In the spirit of Klimeš (2018) for transverse isotropy, equation  $\text{tr}(\mathbf{H} \times \mathbf{a}') = 0$  can be used to determine a likely cubic/orthotropic coordinate system.

Given a raw elasticity tensor

$$\mathbf{E}_0 = (\lambda_0, \mu_0, \mathbf{d}'_0, \mathbf{v}'_0, \mathbf{H}_0),$$

a **likely cubic basis**  $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$  for  $\mathbf{E}_0$  is the eigenbasis of an orthotropic deviatoric second-order tensor  $\mathbf{a}'$  which minimizes

$$\min_{\|\mathbf{a}'\|=1} \|\text{tr}(\mathbf{H}_0 \times \mathbf{a}')\|^2, \quad \mathbf{a}' \in \mathbb{H}^2.$$



## CUBIC ELASTICITY UPPER BOUNDS ESTIMATES

For any orthotropic second-order tensor  $\mathbf{a}$ , we define

$$\mathbf{C}_{\mathbf{a}} := \sqrt{\frac{15}{2}} \frac{((\mathbf{a}^2 \times \mathbf{a}) \cdot (\mathbf{a}^2 \times \mathbf{a}))'}{\|\mathbf{a}^2 \times \mathbf{a}\|^2} \in \mathbb{H}^4, \quad \|\mathbf{C}_{\mathbf{a}}\| = 1,$$

of cubic symmetry group  $G_{\mathbf{C}_{\mathbf{a}}} \in [\mathbb{O}]$ . We get a cubic tensor

$$\mathbf{E} = 2\mu_0 \mathbf{I} + \lambda_0 \mathbf{1} \otimes \mathbf{1} + (\mathbf{C}_{\mathbf{a}} :: \mathbf{H}_0) \mathbf{C}_{\mathbf{a}} \in \Sigma_{[\mathbb{O}]},$$

and define an upper bound estimate of  $d(\mathbf{E}_0, [\mathbb{O}])$ , as

$$\Delta_{\mathbf{a}}(\mathbf{E}_0, [\mathbb{O}]) = \|\mathbf{E}_0 - \mathbf{E}\|.$$

The [Stahn et al \(2020\)](#) **cubic upper bound estimate** is then simply recovered as

$$M(\mathbf{E}_0, [\mathbb{O}]) = \Delta_{\mathbf{t}_0}(\mathbf{E}_0, [\mathbb{O}]),$$

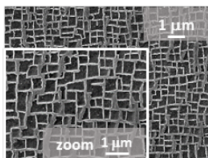
by setting  $\mathbf{a} = \mathbf{t}_0 = \frac{2}{3}(\mathbf{d}_0 - \mathbf{v}_0) = \frac{2}{3}(\text{tr}_{12} \mathbf{E}_0 - \text{tr}_{13} \mathbf{E}_0)$ .

## EXAMPLE OF NI-BASED SUPERALLOY

Consider the elasticity tensor (in Kelvin representation)

$$[\mathbf{E}_0] = \begin{pmatrix} 243 & 136 & 135 & 22\sqrt{2} & 52\sqrt{2} & -17\sqrt{2} \\ 136 & 239 & 137 & -28\sqrt{2} & 11\sqrt{2} & 16\sqrt{2} \\ 135 & 137 & 233 & 29\sqrt{2} & -49\sqrt{2} & 3\sqrt{2} \\ 22\sqrt{2} & -28\sqrt{2} & 29\sqrt{2} & 133 \cdot 2 & -10 \cdot 2 & -4 \cdot 2 \\ 52\sqrt{2} & 11\sqrt{2} & -49\sqrt{2} & -10 \cdot 2 & 119 \cdot 2 & -2 \cdot 2 \\ -17\sqrt{2} & 16\sqrt{2} & 3\sqrt{2} & -4 \cdot 2 & -2 \cdot 2 & 130 \cdot 2 \end{pmatrix} \text{ GPa,}$$

measured by [François–Geymonat–Berthaud \(1998\)](#) for a single crystal Ni-based superalloy with a so-called cubic  $\gamma/\gamma'$  microstructure (Fig. after [Mattiello, 2018](#)):



$d(\mathbf{E}_0, [\mathbb{O}])$		$M = \Delta_{t_0}$	$\Delta_{d_{20}}$	$\Delta_{a'}$
74.13	Estimate (GPa):	241.7	238.6	114.9
$0.1039^2$	Relative estimate:	0.3388	0.3344	0.1610

**Table:** Comparison of upper bounds estimates of the **distance to cubic elasticity**  $d(\mathbf{E}_0, [\mathbb{O}])$  for Ni-based single crystal superalloy.

The material considered has a cubic Ni-based microstructure.  
All 2nd-order covariants of a cubic elasticity tensor are close to be isotropic.  
They do not carry information about the cubic coordinate system.

<sup>2</sup>François et al (1998).

# CLOSURE

- Possible accurate analytical estimation of the distance of a raw elasticity tensor  $\mathbf{E}_0$  (ex. to **cubic symmetry**).
- Key point: the use of a second-order tensor  $\mathbf{a}$  (**not necessarily a covariant of  $\mathbf{E}_0$** ), which carries the likely symmetry coordinate system.
- The optimal tensor  $\mathbf{E}$ , used to define an upper bound estimate as  $\|\mathbf{E}_0 - \mathbf{E}\|$ , is determined a priori. This allows to consider readily other norms than the Euclidean norm.

## LOG-EUCLIDEAN UPPER BOUNDS ESTIMATES

For a given tensor  $\mathbf{E}_0$ , once an elasticity tensor  $\mathbf{E}$  either cubic ( $\mathbf{E} \in \Sigma_{[\mathbb{O}]}$ ) or orthotropic ( $\mathbf{E} \in \Sigma_{[\mathbb{D}_2]}$ ) has been computed according to the symmetry group of a second-order tensor, say  $\mathbf{a}$ , one can easily calculate the upper bounds estimates  $\Delta_{\mathbf{a}}(\mathbf{E}_0, \Sigma_{[G]})$  for any norm.

Since an elasticity tensor has to be positive definite, one can consider the Log-Euclidean norm (Arsigny et al, 2005, Moakher and Norris, 2006),

$$\|\mathbf{E}\|_L := \|\ln(\mathbf{E})\| = \|\ln([\mathbf{E}])\|_{\mathbb{R}^6},$$

which has the property of invariance by inversion.

For this norm, the upper bounds estimates of the distance

$$d(\mathbf{E}_0, \Sigma_{[G]}) = \min_{\Sigma_{[G]}} \|\mathbf{E}_0 - \mathbf{E}\|_L,$$

can then be expressed as

$$\Delta_{\mathbf{a}}(\mathbf{E}_0, \Sigma_{[G]}) := \|\mathbf{E}_0 - \mathbf{E}\|_L = \|\ln(\mathbf{E}_0) - \ln(\mathbf{E})\|.$$

## EXAMPLES WITH LOG-EUCLIDEAN NORM (CUBIC SYMMETRY)

	$\Delta_{t_0}$	$\Delta_{d_{20}}$	$\Delta_{a'}$
Relative Euclidean estimate:	0.3388	0.3344	0.1610
Relative Log-Euclidean estimate:	0.1365	0.1353	0.0616

**Table:** Comparison of cubic upper bounds estimates for Ni-based single crystal superalloy.

The symmetry classes, their number, and their partial ordering are strongly dependent on the tensor type.

There are two symmetry classes for a **vector**  $\mathbf{v}$ :

- $[\text{SO}(2)]$  (axial symmetry, if  $\mathbf{v} \neq 0$ )
- and  $[\text{SO}(3)]$  (isotropy, if  $\mathbf{v} = 0$ ).

There are three symmetry classes for a **symmetric second-order tensor**  $\mathbf{a}$  (and for a deviatoric tensor  $\mathbf{a}'$ ):

- $[\mathbb{D}_2]$  (orthotropy, if  $\mathbf{a}$  has three distinct eigenvalues),
- $[\text{O}(2)]$  (transverse isotropy, if  $\mathbf{a}$  has two distinct eigenvalues),
- and  $[\text{SO}(3)]$  (isotropy, if  $\mathbf{a}' = 0$ );

The symmetry classes for an **harmonic (totally symmetric and traceless) fourth-order tensor**  $\mathbf{H}$  are the same eight symmetry classes as those of an **elasticity tensor** (Ihrig and Golubitsky, 1984, Forte and Vianello, 1996):

$[\mathbb{1}]$ ,  $[\mathbb{Z}_2]$ ,  $[\mathbb{D}_2]$ ,  $[\mathbb{D}_3]$ ,  $[\mathbb{D}_4]$ ,  $[\mathbb{O}]$ ,  $[\text{O}(2)]$  and  $[\text{SO}(3)]$  (isotropy,  $\mathbf{H} = 0$ ).

## GEOMETRIC CONSEQUENCES

- 1 the **vector covariants**  $\mathbf{v}(\mathbf{E})$  of a monoclinic elasticity tensor  $\mathbf{E}$  are all collinear,
- 2 the **vector covariants**  $\mathbf{v}(\mathbf{E})$  of an elasticity tensor  $\mathbf{E}$  either orthotropic, tetragonal, trigonal, cubic, transversely isotropic or isotropic, all vanish:

$$\mathbf{v}(\mathbf{E}) = 0 \quad \forall \mathbf{E} \in \Sigma_{[\mathbb{D}_2]} \cup \Sigma_{[\mathbb{D}_3]} \cup \Sigma_{[\mathbb{D}_4]} \cup \Sigma_{[O]} \cup \Sigma_{[O(2)]} \cup \Sigma_{[SO(3)]},$$

- 3 the **second-order covariants**  $\mathbf{c}(\mathbf{E})$  of an elasticity tensor either cubic or isotropic are all isotropic,
- 4 the **second-order covariants**  $\mathbf{c}(\mathbf{E})$  of an elasticity tensor  $\mathbf{E}$  either tetragonal, trigonal or transversely isotropic, of axis  $\langle \mathbf{n} \rangle$ , are all at least transversely isotropic of axis  $\langle \mathbf{n} \rangle$ ,
- 5 the **second-order covariants**  $\mathbf{c}(\mathbf{E})$  of an orthotropic elasticity tensor  $\mathbf{E}$  are all at least orthotropic (and all of them commute with each other).
- 6 the **second-order covariants**  $\mathbf{c}(\mathbf{E})$  of a triclinic elasticity tensor  $\mathbf{E}$  are all at least orthotropic (but the natural basis may differ from one covariant to another).



## Remark

Any other second-order covariant  $\mathbf{c}(\mathbf{E}_0)$  of the elasticity tensor  $\mathbf{E}_0$  can be added to the list  $\{\mathbf{t}_0, \mathbf{d}_{20}, \mathbf{a}', \mathbf{b}'\}$ , such as

$$\begin{array}{cccccc}
 \mathbf{d}_0, & \mathbf{v}_0, & \mathbf{d}_0^2, & \mathbf{v}_0^2, & (\mathbf{d}_0 \mathbf{v}_0)^S, \\
 \mathbf{H}_0 : \mathbf{d}_0, & \mathbf{H}_0 : \mathbf{v}_0, & \mathbf{H}_0 : \mathbf{d}_0^2, & \mathbf{H}_0 : \mathbf{v}_0^2, & \mathbf{H}_0 : (\mathbf{d}_0 \mathbf{v}_0)^S, \\
 \mathbf{c}_3 = \mathbf{H}_0 : \mathbf{d}_{20}, & \mathbf{c}_4 = \mathbf{H}_0 : \mathbf{c}_3, & \mathbf{c}_5 = \mathbf{H}_0 : \mathbf{c}_4, & \dots & 
 \end{array}$$

## EXPLICIT HARMONIC DECOMPOSITION

The explicit harmonic decomposition of  $\mathbf{E}$  is (Backus, 1970, Spencer, 1970)

$$\mathbf{E} = 2\mu \mathbf{I} + \lambda \mathbf{1} \otimes \mathbf{1} + \frac{2}{7} \mathbf{1} \odot (\mathbf{d}' + 2\mathbf{v}') + 2 \mathbf{1} \otimes_{(2,2)} (\mathbf{d}' - \mathbf{v}') + \mathbf{H},$$

which can also be written as (Cowin, 1989, Baerheim, 1993)

$$\begin{aligned} \mathbf{E} = & 2\mu \mathbf{I} + \lambda \mathbf{1} \otimes \mathbf{1} \\ & + \frac{1}{7} \left( \mathbf{1} \otimes (5\mathbf{d}' - 4\mathbf{v}') + (5\mathbf{d}' - 4\mathbf{v}') \otimes \mathbf{1} \right. \\ & \quad \left. + 2 \mathbf{1} \underline{\otimes} (6\mathbf{v}' - 4\mathbf{d}') + 2(6\mathbf{v}' - 4\mathbf{d}') \underline{\otimes} \mathbf{1} \right) \\ & + \mathbf{H}, \end{aligned}$$

where  $\otimes_{(2,2)}$  is the Young-symmetrized tensor product,

$$\mathbf{a} \otimes_{(2,2)} \mathbf{b} = \frac{1}{3} (\mathbf{a} \otimes \mathbf{b} + \mathbf{b} \otimes \mathbf{a} - \mathbf{a} \underline{\otimes} \mathbf{b} - \mathbf{b} \underline{\otimes} \mathbf{a}),$$

$$(\mathbf{a} \underline{\otimes} \mathbf{b})_{ijkl} := \frac{1}{2} (a_{ik} b_{jl} + a_{il} b_{jk}), \quad I_{ijkl} = (\mathbf{1} \underline{\otimes} \mathbf{1})_{ijkl} = \frac{1}{2} (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}).$$

The normal form  $\mathbf{E}_{\odot}$  of the cubic estimate  $\mathbf{E}$  is obtained directly, as

$$[\mathbf{E}] = \begin{pmatrix} (\mathbf{E})_{1111} & (\mathbf{E})_{1122} & (\mathbf{E})_{1122} & 0 & 0 & 0 \\ (\mathbf{E})_{1122} & (\mathbf{E})_{1111} & (\mathbf{E})_{1122} & 0 & 0 & 0 \\ (\mathbf{E})_{1122} & (\mathbf{E})_{1122} & (\mathbf{E})_{1111} & 0 & 0 & 0 \\ 0 & 0 & 0 & 2(\mathbf{E})_{1212} & 0 & 0 \\ 0 & 0 & 0 & 0 & 2(\mathbf{E})_{1212} & 0 \\ 0 & 0 & 0 & 0 & 0 & 2(\mathbf{E})_{1212} \end{pmatrix},$$

in Kelvin matrix representation, with

$$(\mathbf{E}_{\odot})_{1111} = 2\mu_0 + \lambda_0 - \frac{2}{\sqrt{30}}\mathbf{C}_a \ :: \ \mathbf{H}_0,$$

$$(\mathbf{E}_{\odot})_{1122} = \lambda_0 + \frac{1}{\sqrt{30}}\mathbf{C}_a \ :: \ \mathbf{H}_0,$$

$$(\mathbf{E}_{\odot})_{1212} = \mu_0 + \frac{1}{\sqrt{30}}\mathbf{C}_a \ :: \ \mathbf{H}_0.$$